

01-22-02

01/16/02



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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 080398.P237C  
(maximum 12 characters)First Named Inventor Armin Walter BruderlinTitle: METHOD AND APPARATUS FOR THE DIGITAL CREATION OF FUR EFFECTSExpress Mail Label No. EL371006336US

J1040 U.S. PTO  
10/05/02  
01/16/02

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, D. C. 20231

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2.  Applicant Claims Small Entity Status. (37 CFR 1.27)
3.  Specification (Total Pages 29)  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawings(s) (35 USC 113) (Total Sheets 21)
5.  Oath or Declaration (Total Pages 5)
  - a.  Newly Executed (Original or Copy)
  - b.  Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 18 completed)
    - i.  DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
    - c.  Unsigned.
6.  Application Data Sheet. (37 CFR 1.76)
7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8.  Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b.  Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  **Assignment Papers (cover sheet & documents(s))**  
10.  a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)  
       b. Power of Attorney  
11.  English Translation Document (if applicable)  
12.  a. Information Disclosure Statement (IDS)/PTO-1449  
       b. Copies of IDS Citations  
13.  **Preliminary Amendment**  
14.  **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**  
15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)  
16.  **Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**  
17.  Other: \_\_\_\_\_

**18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**

Continuation       Divisional       Continuation-in-part (CIP)  
Of Prior Application No.: 09/370,104      Examiner M. Good Johnson Group Art Unit 2672  
(which is a  continuation/  divisional/  CIP of prior application no. \_\_\_\_\_,  
which is a  continuation/  divisional/  CIP of prior application no. \_\_\_\_\_) (List entire chain of priority)

**Applicant(s):** Also include a Preliminary Amendment to amend the specification to claim priority.  
**For CONTINUATION AND DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18B. Statement under 37 CFR 3.73(b) for continuing application:**

The undersigned states that Sony Corporation and Sony Pictures Entertainment, Inc. (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel. No. 010343 Frame No. 0150 (or a copy of which is attached).

**19. Correspondence Address**

Customer Number or Bar Code Label \_\_\_\_\_  
or \_\_\_\_\_ (Insert Customer No. or Attach Bar Code Label here)

Correspondence Address Below

NAME Maria McCormack Sobrino

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

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Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

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Name (PRINT/TYPE): Maria McCormack Sobrino Registration No.: 31,639  
Signature: Maria McCormack Sobrino Date: January 16, 2002

**PRELIMINARY AMENDMENT FOR CONTINUING APPLICATION  
(CONTINUATION, DIVISIONAL, OR CONTINUATION-IN-PART)**

First Named Inventor Armin Walter Bruderlin

Title METHOD AND APPARATUS FOR THE DIGITAL CREATION OF FUR EFFECTS

Attorney Docket No. 080398.P237C

**Parent Application:**

First Named Inventor Armin Walter Bruderlin

Title METHOD AND APPARATUS FOR THE DIGITAL CREATION OF FUR EFFECTS

Application No. 09/370,104 Examiner: Motilewa Good Johnson

Filing Date August 6, 1999 Group Art Unit: 2672

Applicant(s): If the present patent application is a Continuation, Divisional, or Continuation-In-Part application under 37 CFR § 53(b) of a parent application, then submit this Preliminary Amendment to the PTO. Please insert the appropriate claim for priority, listing the entire chain of priority.

**To: Assistant Commissioner for Patents**

**Washington, D.C. 20231**

Sir:

Please enter the following preliminary amendment for the present patent application.

At page 2, after the title, at line 1 please insert the following:

The present patent application is a continuation of and claims priority to application no. 09/370,104 filed August 6, 1999, entitled METHOD AND APPARATUS FOR THE DIGITAL CREATION OF FUR EFFECTS.

January 16, 2002

Date

Maria McCormack Sobrino

Signature

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Maria McCormack Sobrino

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Typed or Printed Name

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**FEE CALCULATION (continued)**

**3. ADDITIONAL FEES**

| <u>Large Entity</u> |          | <u>Small Entity</u> |          |  |                 |
|---------------------|----------|---------------------|----------|--|-----------------|
| Fee Code            | Fee (\$) | Fee Code            | Fee (\$) | <u>Fee Description</u>   | <u>Fee Paid</u> |
| 105                 | 130      | 205                 | 65       | Surcharge - late filing fee or oath  | _____           |
| 127                 | 50       | 227                 | 25       | Surcharge - late provisional filing fee or cover sheet                     | _____           |
| 139                 | 130      | 139                 | 130      | Non-English specification  | _____           |
| 147                 | 2,520    | 147                 | 2,520    | For filing a request for ex parte reexamination                            | _____           |
| 099                 | 8,800    | 099                 | 8,800    | Request for inter parties reexamination                                    | _____           |
| 112                 | 920*     | 112                 | 920*     | Requesting publication of SIR prior to Examiner action                     | _____           |
| 113                 | 1,840*   | 113                 | 1,840*   | Requesting publication of SIR after Examiner action                        | _____           |
| 115                 | 110      | 215                 | 55       | Extension for reply within first month                                     | _____           |
| 116                 | 400      | 216                 | 200      | Extension for reply within second month                                    | _____           |
| 117                 | 920      | 217                 | 460      | Extension for reply within third month                                     | _____           |
| 118                 | 1,440    | 218                 | 720      | Extension for reply within fourth month                                    | _____           |
| 128                 | 1,960    | 228                 | 980      | Extension for reply within fifth month                                     | _____           |
| 119                 | 320      | 219                 | 160      | Notice of Appeal   | _____           |
| 120                 | 320      | 220                 | 160      | Filing a brief in support of an appeal                                     | _____           |
| 121                 | 280      | 221                 | 140      | Request for oral hearing   | _____           |
| 138                 | 1,510    | 138                 | 1,510    | Petition to institute a public use proceeding                              | _____           |
| 140                 | 110      | 240                 | 55       | Petition to revive - unavoidable   | _____           |
| 141                 | 1,280    | 241                 | 640      | Petition to revive - unintentional   | _____           |
| 142                 | 1,280    | 242                 | 640      | Utility issue fee (or reissue)   | _____           |
| 143                 | 460      | 243                 | 230      | Design issue fee   | _____           |
| 144                 | 620      | 244                 | 310      | Plant issue fee  | _____           |
| 122                 | 130      | 122                 | 130      | Petitions to the Commissioner  | _____           |
| 123                 | 50       | 123                 | 50       | Processing fee under 37 CFR 1.17(q)  | _____           |
| 126                 | 180      | 126                 | 180      | Submission of Information Disclosure Stmt                                  | _____           |
| 581                 | 40       | 581                 | 40       | Recording each patent assignment per property (times number of properties) | _____           |
| 146                 | 740      | 246                 | 370      | For filing a submission after final rejection (see 37 CFR 1.129(a))        | _____           |
| 148                 | 110      | 248                 | 55       | Statutory Disclaimer   | _____           |
| 149                 | 740      | 249                 | 370      | For each additional invention to be examined (see 37 CFR 1.129(b))         | _____           |
| 179                 | 740      | 279                 | 370      | Request for Continued Examination (RCE)                                    | _____           |
| 169                 | 900      | 169                 | 900      | Request for expedited examination of a design application                  | _____           |
| 195                 | 300      | 195                 | 300      | Publication fee for early, voluntary, or normal pub.                       | _____           |
| 196                 | 300      | 196                 | 300      | Publication fee for republication  | _____           |
| 194                 | 130      | 194                 | 130      | Request for voluntary publication or republication                         | _____           |
| 098                 | 130      | 098                 | 130      | Processing fee under 37 CFR 1.17(i) (except provisionals)                  | _____           |
| 091                 | 1,280    | 091                 | 1,280    | Acceptance of unintentionally delayed claim for priority                   | _____           |

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) \$** \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**

Typed or Printed Name: Maria McCormack Sobrino

Signature: Maria McCormack Sobrino Date: January 16, 2002

Reg. Number: 31,639 Telephone Number: (408) 720-8300